



AURO IMPEX & CHEMICALS LIMITED

740 A, Block 'P', New Alipore, Kolkata 700 053, INDIA, Ph. No. (033) 2400 6300/01/02
E-mail : aimpche@gmail.com, Website : www.auroimpex.com, CIN - U51909WB1994PLC061514

To
The Manager
Listing Department
The National Stock Exchange of India Limited
Exchange Plaza, Plot No. C/1, 5th Floor, Block - G,
Bandra Kurla Complex, Bandra (E),
Mumbai-400051

Date: - 17.01.2024

NSE SYMBOL: AUROIMPEX

ISIN: INEONUL01018

Subject: SDD Compliance Certificate for the Quarter ended 31st December, 2023 pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

Dear Sir / Ma'am,

Pursuant to the provisions of Regulation 3(5) and 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the Structured Digital Database (SDD) Compliance Certificate for the quarter ended 31st December, 2023.

You are requested to take the above information on your record.

Thanking you
Yours Faithfully

For Auro Impex & Chemicals Limited

Raghav Jhunjunwala
Company Secretary & Compliance Officer
Membership No: - F12477



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31st December, 2023

I, Raghav Jhunjunwala, **Company Secretary & Compliance Officer** of Auro Impex & Chemicals Limited ('the Company'), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that: -

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **One (1)** number of events during the quarter ended 31st December, 2023 and has captured the said required events.

I would like to confirm that there were no non-compliance(s) which were observed in the previous quarter.

Kindly take this in your records.

Thanking you
Yours Faithfully

For Auro Impex & Chemicals Limited

Raghav Jhunjunwala
Company Secretary & Compliance Officer
Membership No: - F12477