



To,  
Listing Compliance Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor,  
Plot No.C/ 1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
**Mumbai - 400 051**

July 24, 2023

**NSE Symbol: AUROIMPEX**

Dear Sir/Madam,

**Sub: Outcome of Board Meeting held on Monday, July 24, 2023**

**Ref: Intimation under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")**

Pursuant to the provisions of Listing Regulations, it is hereby informed that the Board of Directors of the Company in their meeting held today i.e., Monday, July 24, 2023, inter alia, has considered and approved the following:

1. Appointment of M/s. R. Das & Associates., Chartered Accountants, as the Internal Auditors of the Company for the FY 2023-24.
2. Appointment of CS Kumkum Rathi, Proprietor of M/s. M. Rathi & Co, Practicing Company Secretary as Secretarial Auditors of the Company for FY 2023-24.
3. The Board considered matter relating to the non-compliance of Regulation 33 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and commented on the same as per **Annexure C**

Details pertaining to the Point 1 & 2 as per Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD/4/2015 dated September 09, 2015 is enclosed herewith as **Annexure A & B respectively**.

The meeting of Board of Directors commenced at 5:00 P.M. and concluded at 7:10 P.M.

We request you to kindly take the above information on record.

Thanking You  
Yours Faithfully  
**For Auro Impex & Chemicals Limited**

**Raghav Jhunjhunwala**  
**Company Secretary**  
**Membership No: - 12477**



## Annexure-A

### Particulars pertaining to Appointment of Internal Auditor:

Name of the firm	R. Das & Associates, Chartered Accountants
Address & Email of auditor	1A, Ashutosh Mukherjee Road Suite No 605 & 606, Kolkata – 700020 Landline no.-033-24768440 Mobile No.- Email ID- <a href="mailto:caripdas@gmail.com">caripdas@gmail.com</a>
Date & Term of appointment	For F.Y. 2023-24 appointed at Board Meeting held on 24th July, 2023.
Reason for appointment	Section 138 of the Companies Act 2013 and the rules made there under and in accordance with the provisions of Companies Act, 2013 and Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) regulations, 2015, as amended from time to time.
Brief Profile	M/s. R. Das & Associates is a Chartered Accountants firm working for more than 25 years in the field of Company Audit, Tax Audit and Internal Audit, Accountings, Audit, Income Tax Matters, etc.
Disclosure of relationships between directors (in case of appointment of a director)	Not Applicable



## Annexure-B

### Particulars pertaining to Appointment of Secretarial Auditor:

Name of the firm	M.RATHI & CO, Practicing Company Secretaries
Address & Email of auditor	A4B/2, 1/ Raja Ram Mohan Roy Road, Kolkata-700 001 Landline no.-033-35506627 Mobile No.-9831133062 Email ID- <a href="mailto:mrathico@gmail.com">mrathico@gmail.com</a> , <a href="mailto:mrathicopcs@gmail.com">mrathicopcs@gmail.com</a>
Date & Term of appointment	For F.Y. 2023-24 appointed at Board Meeting held on 24th July, 2023.
Reason for appointment	Pursuant to Section 204 of the Companies Act 2013 and the rules made there under and in accordance with the provisions of Companies Act, 2013 and Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) regulations, 2015, as amended from time to time.
Brief Profile	M. Rathi & Co., Practicing Company Secretaries was formed in the year 2002. Presently, the firm is being headed by Ms. Kumkum Rathi as a proprietorship firm. Operating mainly from Kolkata, West Bengal and represented in varied parts of India by partners in Bangalore, Chennai, Delhi (Noida), Hyderabad, Kolkata, Mumbai, Pune and Vadodara, the firm provides consultancy services in Corporate Laws, SEBI Laws catering to list of Listed and Unlisted Companies.
Disclosure of relationships between directors (in case of appointment of a director)	Not Applicable



## Annexure-C

### **Board Comments on Non-Compliance of Regulation 33 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended March 31, 2023**

The matter relating to Non-Compliance of Regulation 33 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended March 31, 2023 was placed before the Board. At the same time, Notice of Fine for such non-compliance received from NSE vide its letter No. NSE/LIST-SOP/FINES/0697 dated June 30, 2023 was placed before the Board of Directors at their meeting held today, i.e., 24<sup>th</sup> July, 2023.

The Board was informed that the Books of Accounts of the Company was duly approved and adopted in Board Meeting dated 26.04.2023. Our Company got listed at SME Emerge platform of National Stock Exchange Limited of India Ltd on 23.05.2023. The Company was not aware of the said compliance since it got listed on 23.05.2023.

However, as soon as the Company received Intimation from National Stock Exchange Limited of India Ltd regarding Non-Compliance, it complied the compliance on June 01, 2023. The Board was also informed that penalty of Rs. 11,800/- including GST as fine levied by Stock Exchange has been paid.

Further, the Board discussed that the compliances to be done in prescribed timelines should be strictly adhered to as far as practically possible. The Board further discussed that our company has always been meticulous in complying with Companies Act, etc. but the submission of the results for the quarter ended March 31, 2023 got delayed due to inadvertent error.